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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of:

: Date: April 19, 2002

Giles et al.

: Confirmation No.: 5911

Serial No.: 09/853,164

: Art Unit: 2131

Filed: May 10, 2001

: Examiner:

For: Method and Apparatus for Serving
Content From A Semi-Trusted Server

: Atty. Docket: YOR920010426US1

INFORMATION DISCLOSURE STATEMENT **RECEIVED**

Commissioner for Patents
Washington, D.C. 20231

APR 25 2002
Technology Center 2100

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

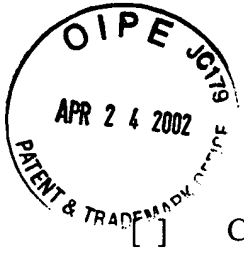
These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 09/853,164



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FEE

Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

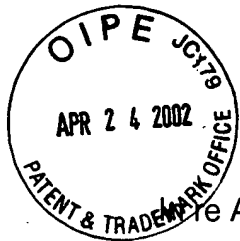
The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Louis P. Herzberg
IBM Corp. IP Law Dept.
T.J. Watson Research Ctr.
P.O. Box 218
Yorktown Heights, NY 10598
Telephone: 914-945-2885

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APR 25 2002

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Information Disclosure Statement
PTO 1449 Form with references

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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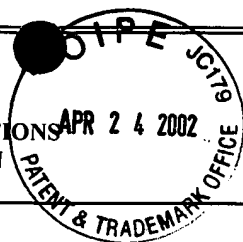
on April 19, 2002

Margaret McCabe


(Signature of person mailing paper)

#4

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENTATTY. DOCKET NO.
YOR920010426US1SERIAL NO.
09/853,164

APPLICANT: Giles et al.

(Use several sheets if necessary)

FILING DATE:
May 10, 2001

GROUP: 2131

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
|----------------------|----|--------------------|----------|-----------------------|-------|----------|----------------------------|
| | AA | 5,857,191 | 01/05/99 | Blackwell, Jr. et al. | | | |
| | AB | 5,875,296 | 02/23/99 | Shi et al. | | | |
| | AC | 6,330,605 | 12/11/01 | Christensen et al. | | | |
| | AD | 6,067,623 | 05/23/00 | Blakley, III et al. | | | |
| | AE | 6,138,162 | 10/24/00 | Pistriotto et al. | | | |
| | AF | | | | | | |
| | AG | | | | | | |
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| | AJ | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|--------------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AL | | | | | | | |
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| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AQ | |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through